

Section 4.C. Ventilator/Respiratory Therapy

Elements to be assessed	Surveyor Notes		Surveyor Notes	
Respiratory procedures are performed in a manner consistent with hospital infection control policies and procedures to maximize the prevention of infection and communicable disease including the following:				
If no observations available, skip questions 4.C.1 through 4.C.8.	<input type="radio"/> No observations available (If selected, ALL questions from 4.C.1 – 4.C.8 will be blocked)		<input type="radio"/> Second observation not available (If selected, questions 4.C.1 – 4.C.8 RIGHT column will be blocked)	
4.C.1 through 4.C.8: General respiratory therapy practices (applies to patients with and without ventilators):				
4.C.1 Hand hygiene is performed before and after contact with patient or any respiratory equipment used on patient.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe	
4.C.2 Gloves are worn when in contact with respiratory secretions and changed before contact with another patient, object, or environmental surface.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe	
4.C.3 Only sterile solution (e.g., water or saline) are used for nebulization.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe	
4.C.4 Single-dose vials for aerosolized medications are not used for more than one patient.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe	

<p>4.C.5 If multi-dose vials for aerosolized medications are used, manufacturers' instructions for handling, storing, and dispensing the medications are followed.</p>	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/> Unable to observe	
<p>4.C.6 If multi-dose vials for aerosolized medications are used for more than one patient, they are stored appropriately and do not enter the immediate patient treatment area.</p>	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
<p>If no to any of 4.C.1 to 4.C.6, cite at to 42 CFR 482.42(a) (Tag A-0749)</p>				
<p>4.C.7 Jet nebulizers are for single patient use and are cleaned as per hospital policy, rinsed with sterile water, and air-dried between treatments on the same patient.</p> <p>Note: Mesh nebulizers, which remain in the ventilator circuit and are not cleaned or disinfected, are changed at an interval recommended by manufacturer's instructions. Nebulizer/drug combination systems are cleaned and disinfected according to manufacturer's instructions.</p>	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
<p>No citation risk; for information only.</p>				
<p>4.C.8 Head of bed is elevated at an angle of 30--45 degrees, in the absence of medical contraindication(s), for patients at high risk for aspiration (e.g., a person receiving mechanically assisted ventilation and/or who has an enteral tube in place).</p>	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
<p>If no to 4.C.8, cite at 42 CFR 482.42(a) (Tag A-0749)</p>				

Ventilators:				
Ventilators are used in a manner consistent with hospital infection control policies and procedures to maximize the prevention of infection and communicable disease including the following:				
If no observations available, skip questions 4.C.9 through 4.C.13.		<input type="radio"/> No observations available (If selected, ALL questions from 4.C.9 – 4.C.15 will be blocked)		<input type="radio"/> Second observation not available (If selected, questions 4.C.9 – 4.C.15 RIGHT column will be blocked)
4.C.9 Ventilator circuit (i.e., ventilator tubing and exhalation valve and the attached humidifier) is changed if visibly soiled or mechanically malfunctioning.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe	
4.C.10 Sterile water is used to fill humidifiers.	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
4.C.11 Condensate that collects in the tubing of a mechanical ventilator is periodically drained and discarded, taking precautions not to allow condensate to drain toward the patient.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe	
4.C.12 If single-use open-system suction catheter is employed, a sterile, single-use catheter is used.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
4.C.13 Only sterile fluid is used to remove secretions from the suction catheter if the catheter is used for re-entry into the patient's lower respiratory tract.	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Unable to observe	
If no to any of 4.C.9 to 4.C.13, cite at 42 CFR 482.42(a) (Tag A-0749)				

4.C.14 Hospital has a program for sedation to be lightened daily in eligible patients.	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
4.C.15 Assessment of readiness to wean (e.g., spontaneous breathing trials) are performed daily in eligible patients.	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
No citation risk for 4.C.14 and 4.C.15; for information only.				